

Using NHSN Data Validation for Improved CLABSI Surveillance and Prevention

Distance-learning Course Part 1 of 3



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Today's Presentation

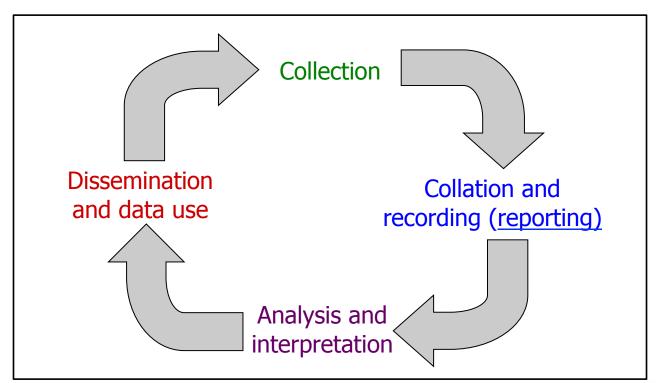
- 1. Describe the attributes of quality HAI surveillance
- 2. Identify best practices for CLABSI case-finding
- 3. Review NHSN CLABSI surveillance protocols and definitions, targeting key issues identified during validations
- 4. Demonstrate CLABSI data validation process and forms for internal use by hospitals





What is Surveillance?

- System that starts and ends with communication and action
- Information loop or cycle

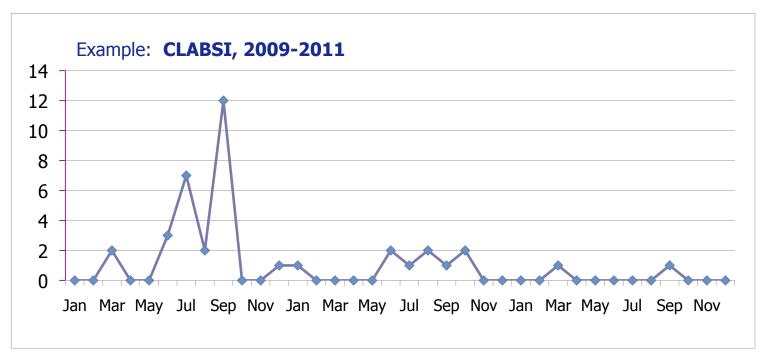






Endpoint of HAI Surveillance?

Data that demonstrate HAI Prevention







Quality Surveillance for Healthcare-Acquired Infections (HAI)

Requires

CONSISTENCY COORDINATION CONFIDENCE COMPASSION





Consistency

Complete case-finding requires a consistent, complete evaluation of a minimum set of clinical data*

	Always Step 1	Step 2
To identify CLABSI	Review every positive blood culture	Review for presence of central line





Coordination

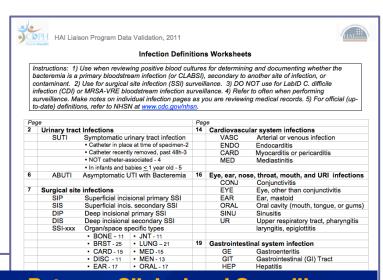
- IP can't perform CLABSI surveillance alone
- CLABSI surveillance needs to be a shared responsibility across hospital units (e.g. for central line days) and services (e.g. microbiology lab data)
- The more connection of relevant clinical data points, the better the surveillance (e.g. positive blood culture, presence of central line, confirmation of symptoms)





Confidence

- ✓ Know the CLABSI definition AND other HAI surveillance definitions
- ✓ Apply definitions with confidence the same way every time
- ✓ Seek assistance for ambiguity*



major articles

of health care-associated infection and criteria for specific types of infections in the acute care setting

Teresa C. Horan, MPH, Mary Andrus, RN, BA, CIC, and Margaret A. Dudeck, MPH Atlanta, Georgia

BACKGROUND

Since 1988, the Centers for Disease Control and Prevention (CDC) has published 2 articles in which nosocomial infection and criteria for specific types of nosocomial infection for surveillance purposes for use in acute care settings have been defined. 1,2 This document population for which clinic stricted to patients ≤1 year incisional SSI descriptions h ify whether an SSI affects the cision following operative than 1 incision is made. For addi

how these criteria are used for NHSN surveillance, refer

Difference Between Clinical and Surveillance Definitions

- CDC/NHSN surveillance definitio Clinical criteria used by physicians for patient care and management may differ from surveillance criteria
 - Clinical
 - Patient centered
 - Used for therapeutic decisions
 - Surveillance
 - Population based
 - Applied exactly the same way each time





Compassion

- Patients want to feel safe
- Patient advocates want to be assured that providers are doing everything possible to prevent CLABSI
- Identifying every CLABSI is necessary to understand what your patients are experiencing
- No one should get a CLABSI







Compassion

Embrace the Cultural Change

Old: "Many infections are inevitable but some may be preventable"

New: "Each infection is potentially preventable unless shown otherwise"

Most HAIs are Preventable - Believe it!

Prevented

Preventable

Adopt facility goal of "HAI Elimination"



Objectives of HAI Data Validation, 2011

HAI Program Liaison IPs performed onsite data validation in 100 volunteer hospitals to

- Gain a better understanding of how NHSN surveillance protocols were understood and being applied
- Provide immediate one-on-one education and coaching to volunteer hospitals
- Develop targeted education and training to all CA hospitals based on common errors, identified gaps, misinterpretations

What this validation process was NOT:

- A research study
- Formal evaluation of HAI reporting implementation



Validation Process

- Performed onsite review at each hospital 1 to 2½ days
 - Team of 2 Liaison IPs (1 IP for smaller hospitals)
- Started with lab line lists for 3 months; required access to medical records
- Assessed completeness and accuracy of reporting for
 - CLABSI
 - CDI (LabID)
 - MRSA BSI (LabID)
 - VRE BSI (LabID)
- Interviewed 2 key hospital staff members (20-30 min each)
 - Denominator data collection processes
 - Hospital location mapping
- Used a standardized set of forms to capture data





Presentation of CLABSI Validation Findings

Sensitivity

- Proportion of CLABSI reported by hospitals among all patients with CLABSI
- High sensitivity indicates CLABSI were identified and reported

Specificity

- Proportion of CLABSI not reported by hospitals among patients without **CLABST**
- High specificity indicates accuracy of "ruling out" CLABSI

Positive Predicted Value

- Proportion of CLABSI reported by hospitals that actually were CLABSI
- High PPV indicates accuracy in applying CLABSI surveillance definition

,	, ,		n Review Standard" or truth)	
		CLABSI	Not CLABSI	
Identified and Reported by	CLABSI	True positives	False positives	Positive Predictive Value (PPV) True positives X 100 True positives + False positives
Hospital	Not CLABSI	False negatives	True negatives	

Sensitivity = True positives X 100

Specificity = True positives + False negatives True negatives + False positives

Quick Review of NHSN CLABSI Protocol "Rules"

For CLABSI surveillance, criteria are:

- ✓ Presence of central line currently or within previous 48 hours
- ✓ One or more positive blood cultures (depending on organism), and
- ✓ Clinical review to determine:
 - ✓ If infection present on admission (not a CLABSI)
 - ✓ If BSI secondary to infection at another site (not a CLABSI)
 - ✓ If lab findings represent contamination during blood draw (not a CLABSI)
 - ✓ If patient symptomatic when 2 positive blood cultures of common commensal bacteria (CLABSI)





CLABSI Validation Findings

Positive blood cultures, inpatients, 3 mo: 13,259

Positive blood culture "events" reviewed: 4,099 97 hospitals

CLABSI reported: 135 52 hospitals

Reported in error: 23 19 hospitals

CLABSI not identified, not reported: 68 42 hospitals

Sensitivity	Specificity	Positive Predictive Value (PPV)
62.0%	99.4%	82.3%

Of note:

55 hospitals had identified and reported ALL CLABSI; none were missed 4 hospitals reported CLABSI in error and also missed CLABSI 16 of the hospitals that missed CLABSI had reported 0 CLABSI





CLABSI Reported in Error

	Reason should not have been reported	
23 of 168 reported	Secondary to another site of infection – 14	
"CLABSI" did not meet	Contaminant – 6	
NHSN criteria	CLABSI present on admission - 3	





CLABSI Missed, Should Have Been Reported

	Reason Missed
68 additional CLABSI identified during validation	Had been ruled as secondary to another infection - 12 Had been ruled a contaminant – 6 Had been ruled as present on admission - 4 Disagreement with NHSN definition – 4 Had been ruled as a continuation of previous BSI – 2 Other (reason observed only once) – 5 Missed* – 35

- * Cases missed most often due to
- 1. inconsistencies between the final retrospective laboratory line lists reviewed during validation and the lists or systems that had been used for IP surveillance
- 2. Positive blood culture not reviewed (whatever the reason)



Agreement could not be reached for only 8 unreported CLABSI

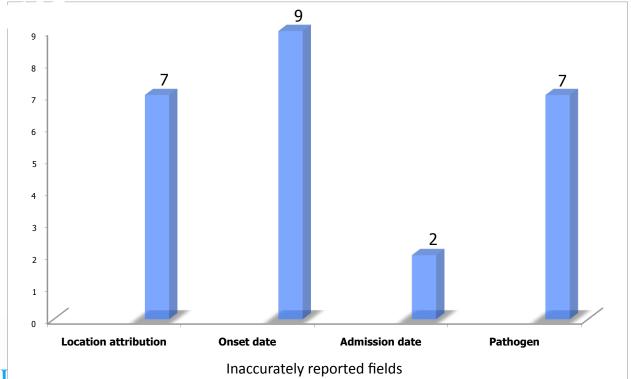




Assessing Accuracy of Reported Data

No. reported Accurate on all CLABSI reviewed fields reviewed

88 81%

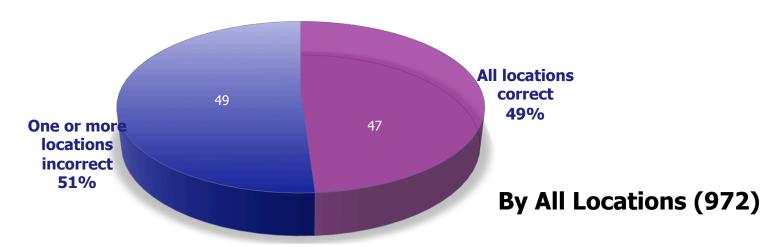


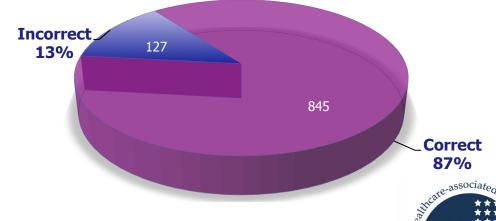




Accuracy of Hospital Unit "Location Mapping"

By Hospital (96)







Improving CLABSI Surveillance





Improving CLABSI Case-finding

- Review every positive blood culture from inpatients
 - Make sure you are receiving all final blood culture results
 - Many of the missed CLABSI had simply been MISSED
- First screen: Determine if patient had central line during hospitalization
 - Develop your own method based on available information systems
- Perform internal Validation (minimum once/year)
 - Ask to have retrospective line list of all positive blood culture results produced directly form your hospital's laboratory information system (LIS)
 - Compare to positive blood culture list used for routine CLABSI
 surveillance

Know the CLABSI Surveillance Definition (!)

- Criterion 1: Single blood culture if a pathogen, which means any organism other than a common commensal
 - No other symptoms are needed to confirm CLABSI
 - Presence of central line & BSI not related to infection at another site
- Criterion 2: 2 positive blood cultures with same common commensal organism plus 1 of 3 symptoms --- fever, chills, or hypotension
 - Cultures can be drawn up to 2 days apart
 - Considered 2 separate blood draws if from
 - 2 peripheral sites
 - 1 peripheral and 1 central line port
 - 2 different ports from the same central line
 - 2 different central lines



Same even if 1 at genus level (e.g. coag negative staph) and the other at species level (e.g. Staph epi)

NHSN List of Common Commensal Organisms

Aerococcus species	Corynebacterium species	Staphylococcus cohnii
Aerococcus urinae	Corynebacterium striatum	Staphylococcus epidermidis
Aerococcus viridans	Corynebacterium ulcerans	Staphylococcus gallinarum
Bacillus cereus	Corynebacterium urealyticum	Staphylococcus haemolyticus
Bacillus species (not B. anthracis)	Corynebacterium xerosis	Staphylococcus hominis
Bacillus subtilis	Diphtheriods	Staphylococcus lentus
Corynebacterium aquaticum	Gram-positive cocci unspecified	Staphylococcus lugdunensis
Corynebacterium bovis	Micrococcus species	Staphylococcus saccharolyticus
Corynebacterium cystitidis	Propionibacterium acnes	Staphylococcus saprophyticus
Corynebacterium glutamicum	Propionibacterium avidum	Staphylococcus schleiferi
Corynebacterium group G-2	Propionibacterium granulosum	Staphylococcus sciuri
Corynebacterium jeikeium	Propionibacterium lymphophilum	Staphylococcus simulans
Corynebacterium kutscheri	Propionibacterium species	Staphylococcus species
Corynebacterium matruchotii	Rhodococcus equi	Staphylococcus warneri
Corynebacterium minutissimum	Rhodococcus species	Staphylococcus xylosus
Corynebacterium mycetoides	Staphylococcus auricularis	Streptococcus anginosus
Corynebacterium pilosum	Staphylococcus capitis ss capitis	Streptococcus bovis
Corynebacterium	Staphylococcus capitis ss unspecified	Streptococcus mitis
Corynebacterium	Staphylococcus capitis ss urealyticus	Streptococcus mutans
Corynebacterium renale	Staphylococcus coagulase negative	Streptococcus salivarius
From NHSN website 9.13.12		Streptococcus viridans species



Simplified View of CLABSI Definition

Patient with a central line must meet one of the following criterion Patient of any age Patient of any age □ has common skin □ has a commensals cultured recognized from 2 or more blood pathogen cultures drawn on cultured from separate occasions one or more and blood cultures has at least one of the and following signs or ☐ Organism symptoms cultured from ☐ Fever (> 38°C), chills, blood is not or hypotension related to an and infection at ☐ Signs and symptoms another site and (+) lab results are not related to an infection at another site

3

Patient ≤ 1 year of age

has common skin

commensals cultured

from 2 or more blood

cultures drawn on

separate occasions

and

has **at least one** of the following signs or symptoms:

☐ Fever (>38°C core), hypothermia (<36°C core), apnea, or bradycardia

and

☐ Signs and symptoms and (+) lab results are not related to an infection at another

Note: Patient ≤1 year old can meet ANY criteria



Each infection has a surveillance definition

Many infections can result in BSI

Access definitions via the NHSN website; most up-to-date



Table 1. CDC/NHSN major and specific types of health

πι	Urinary tract info	ection				
	SUTI	Symptomatic u	rinary			
		tract infection				
	ASB ABUTI	Asymptomatic				
	OUTI ABUIT	Other infection				
SI	Surgical site infe	of the urinar	y tract			
31		SIP Superficial incisional				
	-	primary SSI				
	SIS	Superficial incisional				
		secondary S				
	DIP	Deep incisional				
	DIS	primary SSI				
	DIS	Deep incisional				
	Organ/space	secondary SSI Organ/space SSI. Indicate				
	O Igan pace	specific type:				
		BONE	• LUNG			
		BRST	MED			
		• CARD	MEN			
		 DISC 	ORAL			
		• EAR	 OREP 			
		 BMET 	• OUTI			
		BNDO	• SA			
		• EYE	• SINU			
		• GIT	• UR			
		• IAB	VASC			
		• IC	VCUF			
		• JNT				
SI	Bloodstream infection LCBI Laboratory-confirmed					
	LCBI	Laboratory-confirmed bloodstream infection				
	CSEP	Clinical sepsis				
NEU	Pneumonia					
	PNUI	Clinically define				
	PNU2	Pneumonia with specific laboratory findings				
	PNU3	specific labo Pneumonia in	ratory findings			
	rivos		promised			
		immuno compromised patient				
ı	Bone and joint in					
	BONE	Osteomyelitis				
	JNT	Joint or bursa				
	DISC	Disc space				
NS	Central nervous	system.				
	IC	Intracranial infe	ection			
	MEN	Meningitis or ventriculitis				
	SA	Spinal abscess				
		without mer	ingitis			
vs	Cardiovascular sy VASC		our inferring			
	ENDO	Arterial or ven	ous intection			
	CARD	Endocarditis Myocarditis or pericarditis				

Table I. Continued

EENT	Eye, ear, nose, throat, or mouth infection		
	CONJ	Conjunctivitis	
	EYE	Eye, other	
	F4.0	than conjunctivitis	
	EAR ORAL	Ear, ma stoid	
	ORAL	Oral cavity	
	CRIII	(mouth, tongue, or gums)	
	SINU UR	Sinusitis	
	OK	Upper respiratory	
		tract, pharyngitis, laryngitis, epiglottitis	
GI	Gastrointesti	nal system infection	
0.	GE	Gastroenteritis	
	GIT	Gastrointestinal (GI) tract	
	HEP	Hepatitis	
	IAB	Intraabdomiral, not specified	
	0.0	elsewhere	
	NEC	Necrotizing enterocolitis	
LRI	Lower respira	atory tract infection, other	
	than pneumonia		
	BRON	Bronchitis, tracheobronchitis	
		tracheitis, without	
		evidence of pneumonia	
	LUNG	Other infections	
		of the lower	
		respiratory tract	
REPR	Reproductive	tract infection	
	BMET	Endometritis	
	EPIS	Episiotomy	
	VCUF	Vaginal cuff	
	OREP	Other infections	
		of the male	
		or female reproductive	
		tract	
SST	Skin and soft	tissue infection	
	SKIN	Skin	
	ST	Soft tissue	
	DECU	Decubitus ulcer	
	BURN	Burn	
	BRST	Breast abscess	
		or mastitis	
	UMB	Omphalitis	
	PUST	Pustulosis	
	arc	Newborn circumcision	
SYS	Systemic Infe		
	DI	Disseminated infection	

(<37 $^{\circ}$ C rectal), apnea, bradycardia, dysuria, leth argy, or vomiting

and

Continued

patient has a positive urine culture, that is, $\geq 10^5$ microorganisms per cc of urine with no more than two species of microorganisms.

Patient ≤1 year of age has at least 1 of the following signs or symptoms with no other recognized cause: fever (>38°C), hypothermia (<37°C), apnea, bradycardia, dysuria, lethargy, or vomiting

Primary BSI (CLABSI) or Secondary BSI ?

- Rule out a CLABSI if patient has a bloodstream infection (BSI) and another site is suspected as being the primary site of infection
 - Review medical record for other primary sites of infection, especially for patients with complex co-morbidities
- IMPORTANT: To classify a BSI as secondary to another site, you must ensure the primary site of infection meets the NHSN surveillance definition
 - If does not meet the NHSN definition, you consider the BSI as the primary infection and report as CLABSI



NHSN Patient Safety Manual, NHSN Surveillance Definitions, chapter 17, page 1



CLABSI or Secondary BSI (continued)

- Secondary BSIs are not reported as separate events in NHSN
 - When entering Events into NHSN (e.g. SSI, CAUTI, PNEU, etc), there is a data field to indicate the infection resulted in a secondary BSI
- For many surveillance definitions, a positive blood culture is included in the criteria and can help define the infection (see next slide)





15 HAI surveillance definitions include "Positive Blood Culture" in their criteria

ABUTI - asymptomatic bacteremic UTI

BONE - osteomyelitis

BURN - burn infection

DECU - decubitus ulcer infection

ENDO – endocarditis

GIT - other GI tract infection

IAB - intraabdominal infection

MED - mediastinitis

MEN – meningitis

OREP - other infection of genital tract

PNU 2, PNU 3 - pneumonia

SA - spinal abscess w/o meningitis

ST - soft tissue infection

UMB - omphalitis

UR - upper respiratory tract infection



Using Lab Findings to Determine CLABSI or Secondary BSI

1. If the primary infection site <u>is cultured</u>, the secondary BSI must yield a culture of the same organism as that of the primary site

Example A: Patient has central line. S. aureus isolated from both urine and blood cultures. Clinically meets criteria for symptomatic UTI.

Report as SUTI with a secondary BSI

Example B: Patient has central line. E. coli isolated from urine. Blood culture with S. aureus. Clinically meets criteria for a symptomatic UTI.

Report both a SUTI and CLABSI





Using Lab Findings to Determine CLABSI or Secondary BSI (continued)

2. If the primary infection site is **NOT cultured**, the secondary BSI must be a pathogen appropriate for the primary site

Example C: Patient with central line has a post-surgical abscess detected by CT scan. No culture of abcess performed. Has blood culture positive for *E. coli*.

Report as SSI-GIT with secondary BSI

Example D: Patient with a central line has acute onset diarrhea and fever. Stool culture not performed. 2 blood cultures positive for coagulase negative staphyloccus.

Report as CLABSI



Common Infections with Secondary BSI

- During the 2011 data validation reviews, many complex cases were reviewed to confirm or rule-out CLABSI
- Commonly observed infections with secondary BSI were
 - UTI, symptomatic (SUTI)
 - UTI, asymptomatic with bacteremia (ABUTI)
 - Pneumonia meeting criteria 2 or 3 (PNEU 2, 3)
 - GI tract infection (GIT)
 - Intra-abdominal infection (IAB)
 - Osteomylitis (BONE)
 - Endocarditis (ENDO)
 - Deep Incisional and Organ/space SSI





Example: ABUTI



Figure 5: Identification of Asymptomatic Bacteremic Urinary Tract Infection (ABUTI)

Patient with* or without an indwelling urinary catheter

Positive blood culture is in the ABUTI definition and is required to meet the definition.

NHSN has no definition for asymptomatic UTI without BSI.

Patient of any age Patient of any age NONE of the following: NONE of the following: — fever (>38°C) — fever (≥38°C) — hypothermia (≤36°C core) - urgency frequency — apusa lethergy suprapubic pain - costovertebral angle pain or vomiting tanderness — dysuria A positive urine culture of ≥105 CFU/ml with no more than 2 species of microorganisms** A positive blood culture with at least 1 matching uropathogen microorganism** to the urine Asymptomatic Bacteronic Urinary Tract Infection (ABUTI) Was an indwelling urinary catheter in place within the 48 hours prior to specimen collection?

NHSN Ch7 p13



*The indwelling urinary catheter was in place within 48 hours prior to specimen collection s.

Yes

CA-UTI

**Uropathogan microorganisms are: Gram-negative bacilli, Staphylococcus spp., yeasts, beta-hamolytic Streptococcus spp., Enterococcus spp., G. vaginalis, Aerococcus urinae, Corynebacterium (urease positive)*.

ABUTI (not catheter-associated)

[†]Report Corynebacterium (urease positive) as either Corynebacterium species unspecified (COS) or as C. useabsticum (CORUR) if so speciated



Example: **SUTI**

Can have secondary BSI to SUTI, but positive blood culture not in SUTI definition.

Meaning, BSI is not needed or used to define SUTI



Figure 1: Identification and Categorization of SUTI with Indwelling Catheter (see comments section page 7-8 thru 7-9 for important details)

Patient had an indwelling urinary oatheter at the time of specimen collection or onset of signs or symptoms

At least 1 of the following with no other recognized cause:

□ fever (>38°C)

suprapubic tendemess

a costovertebral angle pain or tenderness

□ pyuria (urine specimen with>10 WBC/mm² of unspun urine or>3 WBC/high power field of spun urine)

microorganisms seen on Gram stain of unspun urine

A positive urine culture of $\geq 10^{\circ}$ CFU/ml with no more than 2 species of microorganisms

SUTI-Criterion la

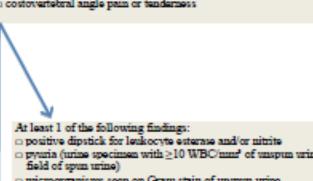
A positive urine culture of ≥10° and <10° CFU/ml with no more than 2 species of microorganisms



SUTI-Criterion 2a







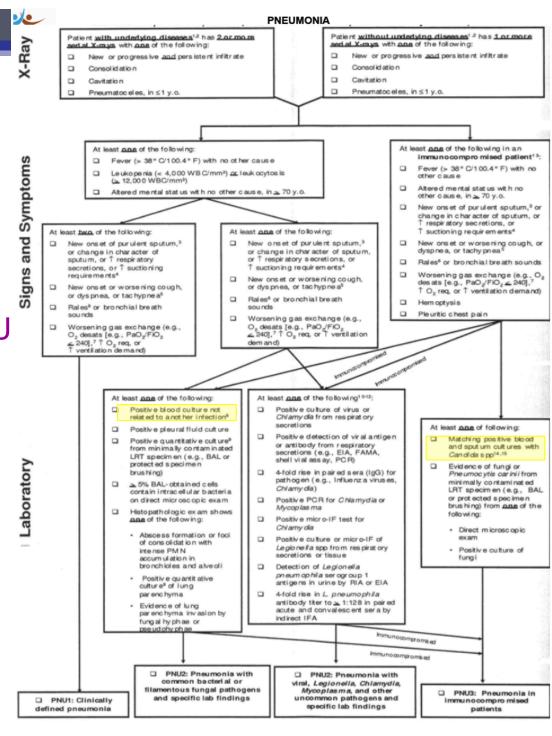


Example: Pneumonia

Laboratory defined pneumonia (PNU2)

Pneumonia in immunocompromised patient (PNU





Example: GIT GI Tract Infection

GIT-Gastrointestinal tract (esophagus, stomach, small and large bowel, and rectum) excluding gastroenteritis and appendicitis

Gastrointestinal tract infections, excluding gastroenteritis and appendicitis, must meet at least 1 of the following criteria:

- Patient has an abscess or other evidence of infection seen during a surgical operation or histopathologic examination.
- Patient has at least 2 of the following signs or symptoms with no other recognized cause and compatible with infection of the organ or tissue involved: fever (>38°C), nausea, vomiting, abdominal pain, or tenderness and

at least 1 of the following:

- a. organisms cultured from drainage or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain
- b. organisms seen on Gram's or KOH stain or multinucleated giant cells seen on microscopic examination of drainage or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain
- c. organisms cultured from blood
- d. evidence of pathologic findings on radiographic examination
- e. evidence of pathologic findings on endoscopic examination (eg, Candida esophagitis or proctitis).





Example: IAB - Intraabdominal Infection

IAB - Intraabdominal, not specified elsewhere including gallbladder, bile ducts, liver (excluding viral hepatitis), spleen, pancreas, peritoneum, subphrenic or subdiaphragmatic space, or other intraabdominal tissue or area not specified elsewhere

Intraabdominal infections must meet at least 1 of the following criteria:

1. Patient has organisms cultured from purulent material from intraabdominal space obtained during a surgical operation or needle aspiration.

2. Patient has abscess or other evidence of intraabdominal infection seen during a surgical operation or histopathologic examination.

3. Patient has at least 2 of the following signs or symptoms with no other recognized cause: □fever (> 38°C), □nausea, □vomiting, □abdominal pain, or □jaundice and at least 1 of the following:
□organisms cultured from drainage from surgically placed drain (eg. closed suction drainage system. open drain. T-tube drain)
□organisms seen on Gram's stain of drainage or tissue obtained during surgical operation or needle aspiration
□organisms cultured from blood and radiographic evidence of infection (eg. Abnormal findings on ultrasound, CT scan. MRi, or radiolabel scans [gallium. technetium, etc] or on abdominal x-ray).

Reporting instruction

Do not report pancreatitis (an inflammatory syndrome characterized by abdominal pain, nausea, and vomiting associated with high serum levels of pancreatic enzymes) unless it is determined to be infectious in origin.





Example: BONE Osteomyelitis

BJ-BONE AND JOINT INFECTION BONE-Osteomyelitis

Osteomyelitis must meet at least 1 of the following criteria:

- Patient has organisms cultured from bone.
- Patient has evidence of osteomyelitis on direct examination of the bone during a surgical operation or histopathologic examination.
- Patient has at least 2 of the following signs or symptoms with no other recognized cause: fever (>38°C), localized swelling, tenderness, heat, or drainage at suspected site of bone infection

and

at least 1 of the following:

- a. organisms cultured from blood
- b. positive blood antigen test (eg, H influenzae, S pneumoniae)

NHSN Ch.17 p14





Data Correction

- Remember, if you note any errors or omissions, you can always go back and edit NHSN records to correct your data
- If you find errors that require you to make numerous changes to your data after it has been entered into NHSN, record date when changes made
- Keep records of clinical or systems issues that may change your blood culture data to help understand variation over time

Examples include:

- Focused education for phlebotomy / blood specimen collection processes
- Reducing blood specimens drawn from central lines
- Introduction of 3rd party HAI surveillance software
 - Changes in laboratory practices or lab systems



Remember that the "power of surveillance is in sharing findings with those who need to know and who can <u>act</u> on the findings to improve patient safety"

"Recommended Practices for Surveillance" AJIC Am J Infect Control 2007; 35:427-40







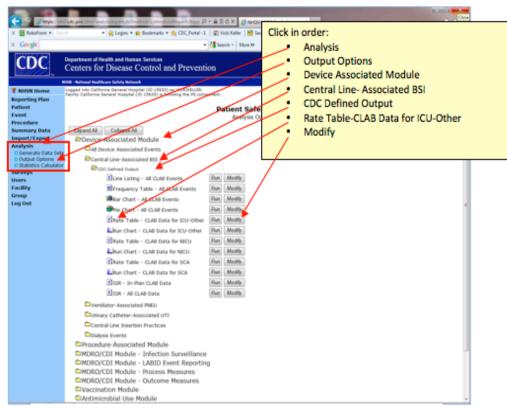
Report and Use CLABSI Data

- Use NHSN analysis features to review and interpret your data
- Plan for distribution of findings, reporting to healthcare providers most able to impact patient care
- Report in a manner to demonstrate prevention progress or spark need for improvement
 - Use visual displays of datacharts, graphs, tables

Using NHSN Analysis Features for Prevention: CLABSI

By using the Analysis function in NHSN, you can verify that all your monthly summary data (patient days and central line days) and events (CLABSI) have been entered. We will demonstrate various ways to look at the data, assess significance of changes (increases or decreases) over time, and identify variables to consider when reporting data to your hospital committees.

- Always begin by generating a data set prior to using the Analysis feature to be sure all data are current.
- In the NHSN Portal click Analysis →Output Options→Device Associated Module→ Central Line-Associated
 BSI→ CDC Defined Output→ Rate Table CLAB Data for ICU-Other→Modify.



See Analysis Guidance series at www.cdph.ca.gov/HAI

Steps for Advancing CLABSI Prevention Using Your NHSN Data

- Think beyond public reporting and hospital-to-hospital comparisons
- Focus on CLABSI prevention progress within <u>your</u> hospital units over time (requires you to find *all* CLABSI that occur)
- Set CLABSI prevention goals and targets
- Remember the 4 C's of surveillance data quality
 - Consistency, coordination, confidence, compassion
 - Establish systems' approaches for identifying CLABSI and capturing accurate line days and patient days

Don't go it alone anymore!



CLABSI Data Validation Process and Forms





CLABSI Validation - Form A Summary of Positive Blood Culture Laboratory Data

Instructions: To begin validation of CLABSI, ask your laborate	ory to produce a line list directly from the
laboratory information system for a 3-month time period to incl	ude

laboratory information system for a 3-month time period to include □ Positive blood cultures from inpatients for each of 3 months Reports should include date of admission to the hospital if possible. Ask to have printed twice: sorted by date and then sorted by patient name or medical record number.							
Months selected for validation: □Jan □Feb □Mar □Apr □May □Jun □Jul □Aug □Sep □Oct □Nov □Dec Laboratory information system (LIS):							
☐ Month # STEP 2: Determine number of month	STEP 1: From positive blood cultures from Inpatients only, indicate total each MONTH: Month Month Month Month Month M						
If total inpatient positive blood cultures in 3 mo. is	Perform review for						
<u>≤</u> 60	all 3 months						
>60 and <120 2 months Select the month with the greatest # , then a 2^{nd} month that makes a 2-month total closest to 60							
<u>≥</u> 120	1 month	Select the month with the greatest #					
In general, starting with 60 positive blood cultures results in approximately 40-55 infectious event "clusters" and will result in in-depth chart review of 10-15 records. The remaining generally require only cursory review to identify or rule out CLABSI (often accomplished using data available through EMR systems). The likelihood of identifying CLABSI is based on your underlying rate and the number of positive blood cultures you include in your validation.							
	, ,,	ncluding in the CLABSI validation review.					
		ths √'d to include in review					
# Separate BSI Events*							
*Event = "Cluster" of positive blood cultures near same date for same patient counts as 1 event; single positive blood cultures also count as 1 event							
STEP 4: On your lab line list, number	each BSI event.						
STEP 5: For each numbered BSI evo		ding culture date (1st positive) and admission mplete review.					
OPTIONAL STEP: For most compre recent hospital discharge (and preser		positive blood cultures from ED patients for vious 48 hours)					



9.13.12 Form A - CLABSI

[INCLUDE IN CLABSI REVIEW AS POSSIBLE] Add recent discharges to table on Form

HAI Liaison Program Data Validation F	orms
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CLABSI Validation – Form	В
RSI Events Table	

Instructions:

- 1. Fill in first specimen date for each BSI event in table below. Numbers should correspond to laboratory line list (see Form A).
- 2. Use Analysis to produce CLABSI line list for the 1,2, or 3-month review period. Also print NHSN Event record for each reported CLABSI...
- 3. For each numbered BSI event, answer Q1 by referring to your NHSN line list. For cases reported to NHSN, record NHSN Event #. If CLABSI on your NHSN list but were not on lab line list, add to the bottom of the table.
- 4. For each BSI event, review patient's medical record to verify your decision to report or not report to NHSN. Carefully follow NHSN protocols and surveillance definitions; refer to them often.
 - o For each CLABSI Reported to NHSN, complete a Form C, CLABSI Validation Review. Record info on table in 1 of 2 columns as shown.
 - For each BSI event NOT reported to NHSN, indicate reason why in the appropriate column. Use Form D as worksheet if needed. If BSI event should have been reported as a CLABSI but was not, record as missed. Indicate a reason the case may have been missed.
- 5. Complete Form E, CLABSI Validation Findings.

When review complete, make all needed corrections to your data in NHSN!

Review Date: / /11 Reviewer's Initials:

BSI Events Table.

			<u>Q1</u> .		If YES	to Q1			If NO	to Q1		
	First positive blood		Was Event reported to NHSN as a			dical record blete Form 5 , columns below					ork sheet if help he columns belo	
	culture of each BSI Event		CLABSI?		Not a CLABSI Reported in	*Data fields correctly reported to	NO central line or no line in	Present on admission and not	i.e. Com	minant mon skin ensals	Secondary BSI	MISSED CLABSI
Lab list #	Specimen date	Admission date	YES √ NHSN Event#	NO √	error Why?	NHSN? √ If NO, List	previous 48 hours	discharged in previous 48 hours	Single	>2 +bld cx but no S/S	Primary site of infection	Should have been reported
1	//11	//11										
2	//11	//11										
3	//11	//11										
4	//11	//11										
5	//11	//11										
6	//11	//11										
7	//11	//11										
8	//11	//11										
9	//11	//11										
10	//11	//11										

Column totals:

9.13.12 Form B - CLABSI 1

Form C - CLABSI



9.13.12

CLABSI Validation – Form C □Confirm Accuracy of Reported CLABSI -OR- □Record CLABSI that was Missed ✓one

Instructions: Complete for each reported CLABSI from Form B. Check box (☑) if data field correct as reported to NHSN or fill-in correct information. -OR- use form to collect data for Missed CLABSI by filling-in all fields.

	ıre//11 NHSN Event #:
Patient ID:	
Gender: F M Other	Date of Birth:/
Event Type: BSI	Date of Event (onset): /11
MDRO Infection Surveillance: "Yes, this infection's pathor Surveillance in the M "No, this infection's pathoge Surveillance in the MDR	DRO/CDI Module" en & location are not in-plan for Infection
Date Admitted to Facility: /11	Location Attribution:
Risk Factors	
<u>X</u> Mark Relevant Location If ICU/Other locations ☐ If Reported CorrectlyIf ICU/Other locations	Location of Device Insertion: optional
Central line: Yes No ☐If Specialty Care Area,	Date of Device Insertion://11 optional
Permanent central line: Yes No	
Temporary central line: Yes No ☐	Medical record review
If NICU,	revealed <u>NOT</u> a CLABSI
Non-umbilical central line: Yes No	reason reported in error
Non-umbilical central line: Yes No ☐ Umbilical catheter:: Yes No ☐	Nouson reported in error
_	reason reported at error.
Umbilical catheter:: Yes No Birth weight (grams):	reason reported in error.
Umbilical catheter:: Yes No	reason reported in error.
Umbilical catheter:: Yes No Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI Criteria:	Laboratory
Umbilical catheter:: Yes No Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI	Laboratory
Umbilical catheter:: Yes No Definition weight (grams): Definition Details Specific Event: Laboratory-confirmed BSI Criteria: Signs & Symptoms NOTE: S/S needed only if common skin commensal	
Umbilical catheter:: Yes No Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI Criteria: Signs & Symptoms NOTE: S/S needed only if common	Laboratory ☐ Recognized pathogen from one or more blood cultures
Umbilical catheter:: Yes No Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI Criteria: Signs & Symptoms NOTE: S/S needed only if common skin commensal Any patient	Laboratory □ Recognized pathogen from one or more blood cultures □ Common skin commensal from ≥2 blood
Umbilical catheter:: Yes No Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI Criteria: Signs & Symptoms NOTE: S/S needed only if common skin commensal Any patient Fever Chills Fever Hungsharmin	Laboratory ☐ Recognized pathogen from one or more blood cultures
Umbilical catheter:: Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI Criteria: Signs & Symptoms NOTE: S/S needed only if common skin commensal Any patient Fever Chills Fever	Laboratory □ Recognized pathogen from one or more blood cultures □ Common skin commensal from ≥2 blood
Umbilical catheter:: Birth weight (grams): Event Details Specific Event: Laboratory-confirmed BSI Criteria: Signs & Symptoms NOTE: S/S needed only if common skin commensal Any patient Fever Signs & Symptoms Note: S/S needed only if common skin commensal Any patient Fever Hypothermia	Laboratory □ Recognized pathogen from one or more blood cultures □ Common skin commensal from ≥2 blood







CLABSI Validation – Form D Chart Review Work Sheet

nstructions: Use for notes when reviewing BSI Events (from Form B) to either rule out or confirm CLABSI. Record final determination by checking appropriate boxes. Transfer findings to BSI Events table, Form B.
☐ 1. BSI event from patient with no central line present or during previous 48 hours.
2. BSI associated with Infection that was PRESENT ON ADMISSION from patient not recently discharged from hospital in the previous 48 hours.
 □ 3. Positive blood culture was determined to be a CONTAMINANT, i.e. common commensal organism(s) from □ only one positive culture within a 2 day period □ 2 cultures on separate occasions, but patient with no signs/symptoms of infection
4. Infection was a BSI SECONDARY TO ANOTHER SITE OF INFECTION. UTI SSI PNEU Bone/Joint Central nervous system Cardiovascular EENT or URI Reproductive tract Skin/ Soft tissue Systemic Refer to NHSN Infection definitions to be sure criteria for primary infection site have been met! 5. Infection met NHSN surveillance criteria for CLABSI, and should have been reported to NHSN. Complete Form 5, CLABSI Review Form.
HOSPITALIZATION Reason for Admission Admitted from Home SNF Dialysis Discharge Date _ / _ Discharge disposition Date of 1st +blood Culture _ / _ Hospital location at time of 1st positive culture: Date admitted to location: _ / _ If on unit < 48 hrs, previous location
CENTRAL LINE HISTORY Date of initial central line insertion Location of Line Insertion Insertion site Removal Location of Line Insertion Insertion of Line Insertion Insertion site Removal Location of Line Insertion Location of Line Insertion Location of Line Insertion Line type: Insertion site Removal CLINICAL NOTES





9.13.12 Form D CLABSI 1

CLABSI Validation – Fori	n E
CLARSI Validation Finding	าตร

Validation results can be displayed using 2x2 tables and the accuracy and completeness of HAI surveillance and reporting can be calculated. Quantitative findings of data validation include sensitivity, specificity, and positive predictive value (defined below).

			n Review Standard" or truth)
		CLABSI	Not CLABSI
Identified and Reported by	CLABSI	True positives	False positives
Hospital	Not CLABSI	False negatives	True negatives

Positive Predictive Value (PPV) =

<u>True positives</u> X 100
True positives + False positives

Sensitivity =

True positives X 100
True positives + False negatives

Sensitivity

- Answers question "How likely are all true infections found?"
- For CLABSI surveillance, sensitivity is defined as the proportion of CLABSI identified and reported from the total of all patients who had a CLABSI.
- If sensitivity is high, it means CLABSI are being identified during surveillance. If sensitivity is low, it means CLABSI are being missed and the hospital's CLABSI rate could be higher than what is being reported.
- Measures completeness and implies effective surveillance methods for casefinding.

Specificity =

<u>True negatives</u> X 100 True negatives + False positives

Specificity

- Answers question "How likely are patients without an infection accurately identified as not having an infection?"
- For CLABSI surveillance, specificity is defined as the proportion of CLABSI not reported from the total of all patients who did not have a CLABSI.
- If specificity is high, it means CLABSI are being ruled out appropriately among patients with positive blood cultures. If specificity is low it means that CLABSI are being reported that are not really CLABSI. The hospital's CLABSI rate may actually be lower than what is being reported.

Positive Predictive Value (PPV)

- Also called the precision rate.
- For CLABSI surveillance, PPV is the proportion of CLABSI reported that met the case definition.
- If PPV is high, it means the identified and reported CLABSI really are CLABSI. If PPV is low, it means CLABSI being reported do not meet the case definition.
- Measures accuracy in applying surveillance definitions and following protocols.

Form E - CLABSI

1

9.13.12

Example			n Review ard" or truth)	
Positive blood or reviewed for val		CLABSI	Not CLABSI	
Identified and Reported by	CLABSI 5	4	1 Reported in error	Positive Predictive Value (PPV) = 4 True positives X 100 4 True pos. + 1 False pos.
Hospital	Not CLABSI 95	<u>2</u> Missed	93	
	Sensitiv	•	Specificity =	•
		pos. + 2 False neg. X 100	93 True negatives 93 True neg. + 1 False po	X 100 os.
		67%	99%	

Interpretation:

For the 100 blood culture events reviewed for CLABSI, the validation reviewers found <u>5</u> disparities compared to the hospital surveillance report.

The hospital had identified and reported 5 CLABSI. The validation reviewers determined only 4 should have been reported; **1** did not meet the surveillance criteria.

The calculated **positive predictive value (PPV)** reveals that what routine hospital surveillance identifies as CLABSI meets the CLABSI surveillance criteria only 80% of the time.

For the other 95 positive blood culture events reviewed in which routine hospital surveillance identified no CLABSI, the validation reviewers identified 2 additional CLABSI.

The calculated **sensitivity** reveals routine hospital surveillance is identifying only 67% of the CLABSI occurring (1/3 are being missed).

The calculated **specificity** reveals hospital routine surveillance accurately "rules out" CLABSI 99% of the time.

9.13.12 Form E - CLABSI 2

D-4-	1/-1:-	!	£	\sim 1	A D O I
Data	valio	lation	TOT	CL	ABSI

Hospital:	

Surveillance time period:

From BSI Events Table, Form 4				
		Validation Review		
# positive blood culture events reviewed =		CLABSI	Not CLABSI	
Identified and Reported by	CLABSI Form B, total Q1 = Yes	A	B Reported in Error	
Hospital	Not CLABSI	C <u>Missed</u>	D	





Infection Definitions Worksheets

Instructions:

- 1) Use when reviewing positive blood cultures for determining and documenting whether a positive blood culture is a primary BSI (CLABSI), secondary BSI to another site of infection, or a contaminant.

- 2) Use for surgical site infection (SSI) surveillance.
 3) DO NOT use for LabID CDI or MRSA-VRE BSI surveillance. Use LabID methods in the MDRO/CDI Module protocol.
 4) Refer to often when performing surveillance. Make notes on individual infection pages as you are reviewing medical

re	cords.				
Pag			Pag	ne .	
2	ů –			Cardiovascular system infections	
-	SUTI Symptomatic urinary tract infection			VASC Arterial or venous infection	
	3011	Catheter in place at time of specimen-2			
				ENDO Endocarditis	
		Catheter recently removed, past 48h-3		CARD Myocarditis or pericarditis	
		 NOT catheter-associated - 4 		MED Mediastinitis	
		 In infants and babies ≤ 1 year old - 5 			
6	ABUTI	Asymptomatic UTI with Bacteremia	16	Eye, ear, nose, throat, mouth, and URI infections	
				CONJ Conjunctivitis	
7	7 Surgical site infections			EYE Eye, other than conjunctivitis	
	SIP	Superficial incisional primary SSI		EAR Ear, mastoid	
	SIS	Superficial incis. secondary SSI		ORAL Oral cavity (mouth, tongue, or gums)	
	DIP	Deep incisional primary SSI		SINU Sinusitis	
	DIS	Deep incisional secondary SSI		UR Upper respiratory tract, pharyngitis	
	SSI-xxx	Organ/space specific types		laryngitis, epiglottitis	
	331-333	BONE - 11 INT - 11		laryrights, epigiotitis	
			40		
		• BRST - 25 • LUNG – 21	19	Gastrointestinal system infection	
		 CARD - 15 MED -15 		GE Gastroenteritis	
		 DISC - 11 MEN - 13 		GIT Gastrointestinal (GI) Tract	
		 EAR - 17 ORAL - 17 		HEP Hepatitis	
		 EMET - 22 OREP -22 		IAB Intrabdominal not specified elsewhere	
		 ENDO - 14 SA - 13 		NEC Necrotizing enterocolitis	
		 EYE - 16 SINU - 18 		· ·	
		• GIT - 19 • UR - 18	21	Lower respiratory tract infection, other than Pneu	
		• IAB - 20 • VASC - 14		BRON Bronchitis, tracheobronchitis,	
		• IC - 12 • VCUF - 22		tracheitis, without evidence of pneu	
		10-12 7001 - 22		LUNG Other infections of lower resp tract	
8	Diaadatuaaw	infaction		Cond Other infections of lower resp tract	
0	Bloodstream		22	Daniel desettes to at infantions	
	LCBI	Lab-confirmed BSI	22	Reproductive tract infections	
_				EMET Endometritis	
9	Pneumonia			EPIS Episiotomy	
	PNUI	Clinically defined pneumonia		VCUF Vaginal cuff	
	PNU2	Pneu with specific lab findings		OREP Other infections of male or female	
	PNU3	Pneu in immunocompromised		reproductive tract	
		·		·	
10	PNU1	Alternate clinical definition, ≤1yo	23	23 Skin and soft tissue infection	
		- · · · · · · · · · · · · · · · · · · ·		SKIN Skin	
11	Bone and io	int infections		ST Soft tissue	
• •	BONE	Osteomyelitis		DECU Decubitus ulcer	
		,			
	JNT	Joint or bursa		BURN Burn	
	DISC	Disc space		BRST Breast abscess or mastitis	
				UMB Omphalitis	
12		ous system infections		PUST Pustulosis	
	IC	Intracranial infection		CIRC Newborn circumcision	
	MEN	Meningitis or ventriculitis			
	SA	Spinal abscess without meningitis	26	Systemic Infection	
				DI Disseminated infection	





Refer to CDC/NHSN for official version of definitions

GASTROINTESTINAL SYSTEM INFECTIONS

E - Gastroenteritis	
astroenteritis must meet at least 1 of the following criteria: 1. Patient has an acute onset of diarrhea (liquid stools for more than 12 hours) with or without vomiting or fever (> 38°C) and no likely noninfectious cause (eg. diagnostic tests therapeutic regimen other than antimicrobial agen Acute exacerbation of a chronic condition. or psychologic stress). 2. Patient has at least 2 of the following signs or symptoms with no other recognized cause: □nausea, □vomiting □abdominal pain, □fever (>38°C), or □headache and at least 1 of the following: a. □an enteric pathogen is cultured from stool or rectal swab b. □an enteric pathogen is detected by routine or electron microscopy c. □an enteric pathogen is detected by antigen or antibody assay on blood or feces d. □evidence of an enteric pathogen is detected by cytopathic changes in tissue culture (toxin assay) e. □diagnostic single antibody titer (IgM) or 4-fold increase in paired sera (IgG) for pathogen.	
iT- Gastrointestinal tract (esophagus, stomach, small and large bowel, and rectum) excluding gastroenteriti nd appendicitis	s
Sastrointestinal tract infections, excluding gastroenteritis and appendicitis, must meet at least 1 of the following criterial. 1. Patient has an abscess or other evidence of infection seen during a surgical operation or histopathologic examination. 2. Patient has at least 2 of the following signs or symptoms with no other recognized cause and compatible with infection of the organ or tissue involved: □fever (>38°C), □nausea, □vomiting. □abdominal pain, or □tendernes and at least 1 of the following: □organisms cultured from drainage or tissue obtained during a surgical operation or endoscopy or from a surgical placed drain □organisms seen on Gram's or KOH stain or multinucleated giant cells seen on microscopic examination of drain or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain □organisms cultured from blood □evidence of pathologic findings on radiographic examination (eg. Candida esophagitis or procti)	ss
EP - Hepatitis	
lepatitis must meet the following criterion: ☐ Patient has at least 2 of the following signs or symptoms with no other recognized cause: ☐fever (>38°C), ☐ □anorexia, ☐ □nausea, ☐ vomiting, ☐ □abdominal pain, ☐ jaundice, or ☐ history of transfusion within the previous 3 months	3
and at least 1 of the following: a. □positive antigen or antibody test for hepatitis A, hepatitis B, hepatitis C. or delta hepatitis b. □abnormal liver function tests (eg. elevated ALT/AST. bilirubin) c. □cytomegalovirus (CMV) detected in urine or oropharyngeal secretions.	



PublicHealth



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Reporting instructions
 Do not report hepatitis or jaundice of noninfectious origin (alpha-I antitrypsin deficiency. etc).
 Do not report hepatitis or jaundice that results from exposure to hepatotoxins (alcoholic or acetaminophen-

• Do not report hepatitis or jaundice that results from biliary obstruction (cholecystitis).

5.1.12

induced hepatitis. etc).

www.cdph.ca.gov/HAI

Programs

Health Information

Certificates & Licenses

Publications & Forms

en Español

->> Su salud en su idioma

Most Popular Links

- Birth, Death. & Marriage Certificates
- Licensing and Certification
- -> WIC

Quick Links

- About Us
- ->> Decisions Pending & Opportunities for Public Participation
- ->> Diseases & Conditions
- Job Opportunities
- Local Health Services
- Newsroom
- » Public Availability of Documents

Related Links

- California Health and Human Services Agency
- Department of Health Care Services (includes Medi-Cal)
- State Agencies Directory

Home > Programs > Healthcare Associated Infections Program

Healthcare Associated Infections (HAI) Program

The Healthcare Associated Infections (HAI) Program is one of three programs in the Center for Health Care Quality of the California Department of Public Health. The Program is responsible for the surveillance, reporting, and prevention of infections in California's general acute care hospitals as mandated by Senate Bills 739, 1058, and 158. The Program was authorized in December 2009.

HAIs are the most common complication of hospital care and are listed among the top ten leading causes of death in the United States. It is estimated that each year there are more than 1.7 million infections, 99,000 deaths, and \$3.1 billion dollars in excess healthcare costs in acute care hospitals alone. Based on this data it is estimated that approximately 200,000 infections occur in California each year with an annual cost of about \$600 million - \$1.6 billion. The vision of the HAI Program is to eliminate HAIs for California patients.

Healthcare Associated Infections	
New HAI Information and Reports Links to All Pages on HAIs and Mandatory Public Reporting	
Healthcare Associated Infections - Advisory Committee	
New HAI-AC Recruitment Page	
> HAI Advisory Committee	
Information for Infection Prevention Programs	
->> AFLs, Legislation, and Regulations	
New Using NHSN Data Validation for Improved HAI Surveillance and Prevention (New Page)	
New Using NHSN Analysis for Prevention Guidance Series	
> Basics of Infection Prevention 2 Day Mini Course	

- » NHSN Guidance Specific to California Hospitals
- ->> California Infection Control and Prevention Guidelines
- HAI Liaison Program IP Assignments by County (PDF, New Window)

Influenza Information

- ->> Healthcare Personnel Influenza Vaccination
- ->> Influenza Vaccination Information for Consumers

Resources

- ->> Selected links to the Association of Professionals in Infection Control and Hospital Epidemiology (APIC)
- ->> Selected links to the Centers for Disease Control and Prevention (CDC)
- ->> Selected links to the Society for Healthcare Epidemiology of America (SHEA)

Public Reporting - Healthcare Associated Infections

- New My Hospital Healthcare Associated Infections Interactive Map
- New Central Line associated Bloodstream Infection (CLABSI) 2011
- New Methicillin-resistant Staphylococcus aureus (MRSA) and Vancomycin-resistant Enterococcus (VRE) 2011
- New Surgical Site Infections 2011
- » Clostridium difficile Infection (CDI) 2011 data will be published soon

Public Reporting – Prevention Measures

- New Central Line Insertion Practices (CLIP)
- ->> Surgical Site Infection Prevention Measures Mandatory Reporting

Antimicrobial Resistance

- New California Antibiogram Project
- The California Antimicrobial Stewardship Program Initiative

Contact

->> HAI Program

Questions?

Email

InfectionControl@cdph.ca.gov

or

Your designated HAI Liaison IP FirstName.LastName@cdph.ca.gov

Lynn.Janssen@cdph.ca.gov



